

# Expanding Universes

Cosmology Block Course 2013

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# Preparation for cosmological model-building

One key property of the universe:

- Homogeneous and isotropic on large scales ( $> 100$  Mpc)
- Average density rather small

Make this the first axiom of cosmological model-building:

## Cosmological principle

On large scales, on average, the universe is homogeneous and isotropic

# Cosmological model-building: strategy

Two-step model-building strategy guided by the cosmological principle:

- 1 Build idealized exactly homogeneous and isotropic models:  
**Friedmann-Lemaître-Robertson-Walker, FLRW** (exact family of solutions; this lecture)
- 2 Add inhomogeneities on smaller scales as perturbations (BMS's lecture)

# Homogeneous and isotropic universes

Naïvely: A homogeneous universe is the same everywhere (in particular: density  $\rho = \text{const.}$ ).

But: general relativity is a covariant theory — all coordinate systems admissible!

Relativistic definition: There exists a coordinate choice so that, at each fixed coordinate time, space is homogeneous (foliation).

(More rigorous definition:  $\Rightarrow$  isometries and Killing vectors, way beyond scope of this course.)

# Choice of time coordinate

Assume there is a *cosmic substrate* — matter (think: galaxy-size dust particles) that, for a given choice of time and space coordinates, is at rest and evenly distributed.

*Cosmic time*: time coordinate for which there can be explicit isotropy and homogeneity (this fixes simultaneity); time differences at the same point in space are proper time differences for substrate particles.

In consequence:

$$ds^2 = -dt^2 + (\text{spatial part of metric}).$$

## Choice of spatial metric: Euclidean

Rigorous route: Killing vectors & form invariance, cf. sec. 13 in Weinberg (1972)

Simpler question: What can we think of?

Euclidean space:

$$ds^2 = dx^2 + dy^2 + dz^2 \equiv d\vec{x}^2.$$

$$ds^2 = (dx, dy, dz) \cdot \begin{pmatrix} dx \\ dy \\ dz \end{pmatrix} = d\vec{x}^T \cdot d\vec{x}$$

... this is invariant under translations, since  $d(\vec{x} + \vec{a}) = d\vec{x}$  and under rotation, since  $\vec{x} \mapsto M\vec{x}$  with  $M \in SO(3)$  means

$$d(M\vec{x})^T \cdot d(M\vec{x}) = d\vec{x}^T \cdot M^T \cdot M \cdot d\vec{x} = d\vec{x}^T \cdot d\vec{x}.$$

## Choice of spatial metric: Spherical

What other homogeneous, isotropic spaces are there?

Think spherical; a spherical surface  $S^{n-1}$  embedded in  $\mathbb{R}^n$  is defined as the union of all points with  $n$ -dimensional coordinates  $x_i$  where

$$\sum_{i=1}^n x_i^2 = R^2$$

with  $R$  the radius of the sphere. Two-sphere  $S^2$ : ordinary spherical surface in space.

At least locally: Use  $n - 1$  of the coordinates as coordinates on the surface,  $\vec{x}$ ; one coordinate as embedding coordinate,  $\xi$ , then

$$ds^2 = d\vec{x}^2 + d\xi^2 \quad \text{where} \quad \xi^2 + \vec{x}^2 = R^2.$$



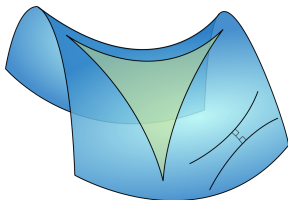
# Choice of spatial metric: Spherical

$$ds^2 = d\vec{\chi}^2 + d\xi^2 \quad \text{where} \quad \xi^2 + \vec{\chi}^2 = R^2$$

is invariant under rotations  $M \in SO(4)$ , which include homogeneity (any point can be rotated into any other point) and isotropy (any tangent vector can be rotated in any direction).

Easiest to see for  $S^2 \in \mathbb{R}^3$  : For each point  $P$ , one rotation (through embedding centerpoint and  $P$ ) that will rotate space around  $P$  (isotropy), and two rotations that will shift the point into any given other point (homogeneity).

# Choice of spatial metric: Hyperbolic



$$ds^2 = d\vec{x}^2 - d\xi^2 \quad \text{where} \quad \xi^2 - \vec{x}^2 = R^2.$$

Higher-dimensional analogue of a saddle; invariant under  $R \in SO(3, 1)$ .

This is the Lorentz group:  $SO(3)$  rotations (isotropy around each given point) and 3 Lorentz boosts that take the point into an arbitrary other point (homogeneity).

# Unifying the spherical and hyperbolic spaces

Rescale  $\vec{x} \mapsto \vec{x}/R$  and  $\xi \mapsto \xi/R$ :

$$ds^2 = R^2 \left[ d\vec{x}^2 \pm d\xi^2 \right] \quad \text{where} \quad \xi^2 \pm \vec{x}^2 = 1.$$

From the constraint equation,

$$d(\xi^2 \pm \vec{x}^2) = 0 = 2(\xi d\xi \pm \vec{x} \cdot d\vec{x})$$

relates the differentials. Substitute in metric to get unconstrained version:

$$ds^2 = R^2 \left[ d\vec{x}^2 \pm \frac{(\vec{x} \cdot d\vec{x})^2}{1 \mp \vec{x}^2} \right]$$

# Unifying the spherical and hyperbolic spaces

Introduce parameter  $K = +1, 0, -1$  to write all three metrics in the same form:

$$ds^2 = R^2 \left[ d\vec{x}^2 + K \frac{(\vec{x} \cdot d\vec{x})^2}{1 - K\vec{x}^2} \right]$$

where

$$K = \begin{cases} +1 & \text{spherical space} \\ 0 & \text{Euclidean space} \\ -1 & \text{hyperbolic space} \end{cases}$$

# Spherical coordinates

Introduce spherical coordinates  $r, \theta, \phi$  via

$$x = r \cdot \sin \theta \cdot \cos \phi$$

$$y = r \cdot \sin \theta \cdot \sin \phi$$

$$z = r \cdot \cos \theta$$

(in the usual way — think about  $\theta$  as latitude and  $\phi$  as longitude).

Direct calculation shows:

$$d\vec{x}^2 = dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \equiv dr^2 + r^2 d\Omega.$$

Also,  $\vec{x}^2 = r^2$  and  $\vec{x} \cdot dx = r dr$ .

# Spherical coordinates

Re-write the metric accordingly:

$$ds^2 = R^2 \left( \frac{dr^2}{1 - Kr^2} + r^2 d\Omega \right).$$

This is nice and simple!

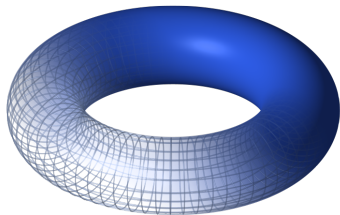
## A caveat: global vs. local

The metric

$$ds^2 = \frac{dr^2}{1 - Kr^2} + r^2 d\Omega.$$

describes space *locally*.

Globally, there is *topology* to consider — e.g. a flat metric can belong to infinite Euclidean space, but also, say, to a torus (a patch of Euclidean space with certain identifications).



⇒ Later on, we will learn of a possibility how a finite universe might be identified (cosmic background radiation)

# A caveat: global vs. local

- $K = 0$ : 18 topologically different forms of space. Some infinite, some finite.
- $K = +1$ : infinitely many topologically different forms. All are finite.
- $K = -1$ : infinitely many topologically different forms of space. Some infinite, some finite.



# Back to spacetime

$R$  can only depend on  $t$  (homogeneity):  $R \rightarrow a(t)$ .

$$ds^2 = -dt^2 + a(t)^2 \left[ d\vec{x}^2 + K \frac{(\vec{x} \cdot d\vec{x})^2}{1 - K\vec{x}^2} \right] = -dt^2 + a(t)^2 \left[ \frac{dr^2}{1 - Kr^2} + r^2 d\Omega \right]$$

$a(t)$  is the **cosmic scale factor**

This is the **Friedmann-Robertson-Walker-Metric** — unique description for homogeneous and isotropic spaces.

# Properties of the FRW metric

Note: We haven't invoked Einstein's equations yet! What we derive now follows from symmetry!

$$ds^2 = dt^2 + a(t)^2 \left[ \frac{dr^2}{1 - Kr^2} + r^2 d\Omega \right] = -dt^2 + a(t)^2 \tilde{g}(\vec{x})_{ij} dx^i dx^j$$

means that for any two spatial vectors  $v^\mu = (0, \vec{v})$ ,  $w^\mu = (0, \vec{w})$  we have

$$g(v, w) = a(t)^2 \tilde{g}(\vec{v}, \vec{w})$$

⇒ distance ratios and angles preserved over time!

# The role of the scale factor

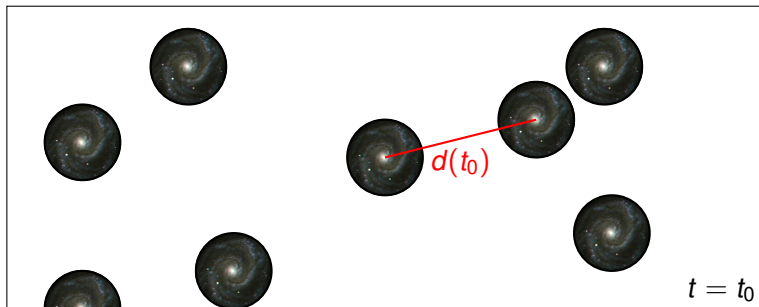


# The role of the scale factor



# Distances between galaxies

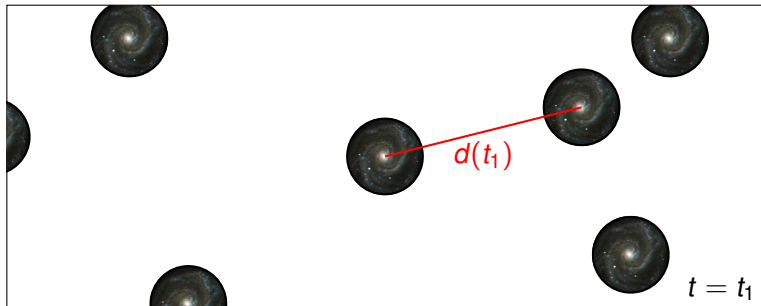
Consider galaxies in the Hubble flow:



All distances change as  $d(t) = \frac{a(t)}{a(t_0)} \cdot d(t_0)$ .

# Distances between galaxies

Consider galaxies in the Hubble flow:



All distances change as  $d(t) = \frac{a(t)}{a(t_0)} \cdot d(t_0)$ .

# Taylor expansion of the scale factor

Generic Taylor expansion:

$$a(t) = a(t_0) + \dot{a}(t_0)(t - t_0) + \frac{1}{2}\ddot{a} \cdot (t - t_0)^2 + \dots$$

Re-define the expansion parameters by introducing two functions

$$H(t) \equiv \frac{\dot{a}(t)}{a(t)} \quad \text{and} \quad q(t) \equiv -\frac{\ddot{a}(t)a(t)}{\dot{a}(t)^2}$$

and corresponding constants

$$H_0 \equiv H(t_0) \quad \text{and} \quad q_0 \equiv q(t_0)$$

$$a(t) = a_0 \left[ 1 + (t - t_0)H_0 - \frac{1}{2}q_0 H_0^2 (t - t_0)^2 + \dots \right]$$

## Some nomenclature and values 1/2

$t_0$  is the standard symbol for the **present time**. If coordinates are chosen so cosmic time  $t = 0$  denotes the time of the big bang (phase), then  $t_0$  is the **age of the universe**. Sometimes, the age of the universe is denoted by  $\tau$ .

$H(t)$  is the **Hubble parameter** (sometimes misleadingly *Hubble constant*)

$H_0 \equiv H(t_0)$  is the **Hubble constant**. Current values are (cf. later lecture) around

$$H_0 = 70 \frac{\text{km/s}}{\text{Mpc}}.$$



## Some nomenclature and values 2/2

Sometimes, the Hubble constant is written as

$$H_0 = h \cdot 100 \frac{\text{km/s}}{\text{Mpc}}$$

to keep ones options open with  $h$  the **dimensionless Hubble constant**.

The inverse of the Hubble constant is the **Hubble time** (cf. the linear case and the models later on).

$$\frac{1}{h \cdot 100 \frac{\text{km/s}}{\text{Mpc}}} \approx h^{-1} \cdot 10^{10} \text{ a.}$$

# Matter at rest in an FRW universe

Our assumption: Floating substrate of particles. Is this consistent?  
Can particles be at rest?

We need the geodetic equations to tell us:

$$\ddot{x}^\mu + \Gamma_{\nu\rho}^\mu \dot{x}^\nu \dot{x}^\rho = 0$$

Particle at rest has four-velocity:

$$\dot{x}^0 = 1 \quad \text{and} \quad \dot{x}^i = 0.$$

Does this solve the geodetic equation?  $\Rightarrow$  **Exercise**

# Light in an FRW universe

For light, often easier to use  $ds^2 = 0$  instead of the geodesic equation.

Also: use symmetries! Move origin of your coordinate system wherever convenient. Look only at radial movement.

$$ds^2 = -dt^2 + a(t)^2 \left[ \frac{dr^2}{1 - Kr^2} + r^2 d\Omega \right]$$

becomes

$$dt = \pm \frac{a(t) dr}{\sqrt{1 - Kr^2}}.$$

# Light in an FRW universe

Integrate to obtain

$$\int_{t_2}^{t_1} \frac{dt}{a(t)} = \pm \int_{r_2}^{r_1} \frac{dr}{\sqrt{1 - Kr^2}}.$$

Plus/minus: light moving towards us or away from us.

**The key to astronomical observations in an FRW universe:**

$$\int_{t_1}^{t_0} \frac{dt}{a(t)} = \int_0^{r_1} \frac{dr}{\sqrt{1 - Kr^2}}.$$

where, by convention,  $t_0$  is present time,  $t_1 < t_0$  emission time of particle,  $r_1$  (constant) coordinate value for distant source.

## Light signals chasing each other 1/2

Imagine two signals leaving a distant galaxy at  $r = r_1$  at consecutive times  $t_1$  and  $t_1 + \delta t_1$ , arriving at  $t_0$  and  $t_0 + \delta t_0$ . Then

$$\int_{t_1}^{t_0} \frac{dt}{a(t)} = \int_0^{r_1} \frac{dr}{\sqrt{1 - Kr^2}}.$$

and

$$\int_{t_1 + \delta t_1}^{t_0 + \delta t_0} \frac{dt}{a(t)} = \int_0^{r_1} \frac{dr}{\sqrt{1 - Kr^2}}$$

$$\int_{t_0}^{t_0 + \delta t_0} \frac{dt}{a(t)} - \int_{t_1}^{t_1 + \delta t_1} \frac{dt}{a(t)} = 0.$$

## Light signals chasing each other 2/2

For small  $\delta t$ ,

$$\int_{\bar{t}}^{\bar{t}+\delta t} f(t) dt \approx f(\bar{t}) \cdot \delta t,$$

so in our case

$$\frac{\delta t_0}{a(t_0)} = \frac{\delta t_1}{a(t_1)}$$

Signals could be anything — in particular: consecutive crests (or troughs) of light waves,  $f \propto 1/\delta t$ :

$$\frac{f_0}{f_1} = \frac{a(t_1)}{a(t_0)}, \text{ wavelengths change as } \frac{\lambda_0}{\lambda_1} = \frac{a(t_0)}{a(t_1)}.$$

# Frequency shift by expansion

Redshift defined as

$$z = \frac{\lambda_0 - \lambda_1}{\lambda_1} = \frac{a(t_0)}{a(t_1)} - 1$$

or

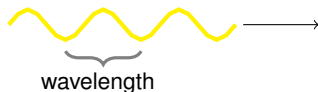
$$1 + z = \frac{a(t_0)}{a(t_1)}$$

For co-moving galaxies:  $z$  is directly related to  $r_1$ . For monotonous  $a(t)$ : distance measure.

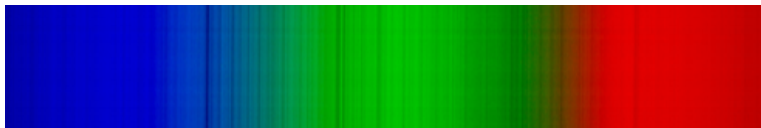
Relation depends on dynamics  $\Rightarrow$  later!

# Cosmological redshift

Wavelength scaling with scale factor:



Redshift for  $a(t_0) > a(t_1)$ ; blueshift for  $a(t_0) < a(t_1)$





## For “nearby” galaxies...

... use the Taylor expansion

$$a(t) = a(t_0)[1 + H_0(t - t_0) + O((t - t_0)^2)]:$$

$$1 - z \approx \frac{1}{1 + z} = \frac{a(t_1)}{a(t_0)} \approx 1 + H_0(t_1 - t_0)$$

or

$$z \approx H_0(t_0 - t_1) \approx H_0 d$$

for small  $z$ , small  $t_0 - t_1$ .

**This is Hubble's law.**

Originally discovered by Alexander Friedmann (cf. Stigler's law).

# Pedestrian derivation of Hubble's law and redshift

For scale factor expansion,  $d(t) = a(t)/a(t_0) \cdot d(t_0)$ :  
“Instantaneous speed” of a galaxy

$$v(t) = \frac{\dot{a}(t)}{a(t)} d(t) = H(t) d(t) \approx H_0 d(t).$$

Classical (moving-source) Doppler effect:

$$z = v$$

in other words:

$$z = H_0 d.$$

# Moving galaxies?

... so are galaxies really moving with  $v = H_0 d$ ?

Exact form for redshift

$$1 + z = \frac{a(t_0)}{a(t_1)}$$

shows that it's not about motion – it's about what happens to the light on its way!

Would  $v > c$  be a problem? (For  $H_0 = (70\text{km/s})/\text{Mpc}$  from 4.3 Gpc onwards.)

Remember the equivalence principle: SR does not care about global GR effects, as long as locally all is well.

## Changing redshifts

$z$  depends on the observing time, as well! For one and the same object ( $r_1$  fixed), and evaluated at  $t_0$ :

$$\frac{dz}{dt_0} = \frac{d}{dt_0} \left[ \frac{a(t_0)}{a(t_1)} \right] = \frac{\dot{a}(t_0)}{a(t_1)} - \frac{a(t_0)\dot{a}(t_1)}{a(t_1)^2} \frac{dt_1}{dt_0}.$$

But

$$\frac{dt_1}{dt_0} = \frac{1}{1+z}$$

(that was the redshift argument). Insert Hubble function:

$$H(t_1) = H_0(1+z) - \frac{dz}{dt_0}.$$

Measure the change in  $z$ , and you can reconstruct the past!

# The evolution of the scale factor

Up to now, all our conclusions drawn from metric — derived by *symmetry*.

To find the explicit form of  $a(t)$ , we need Einstein's equations,

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

— in particular: we need to assume a (homogeneous, isotropic...) stress-energy tensor  $T_{\mu\nu}$ . Choose the perfect fluid,

$$T^{\mu\nu} = (\rho + p) u^\mu u^\nu + p g^{\mu\nu}$$

(where we have generalized  $\eta \rightarrow g$ ), then implement isotropy:  $u^\mu = (1, \vec{0})$  — the substrate (gas, ...) is, on average, at rest in the cosmic reference frame.

# Solving Einstein's equations for FRW

00 component of Einstein's eq.:

$$3 \frac{\dot{a}^2 + K}{a^2} - \Lambda = 8\pi G \rho$$

$i0$  components vanish.  $ij$  components give

$$2 \frac{\ddot{a}}{a} + \frac{\dot{a}^2 + K}{a^2} - \Lambda = -8\pi G p$$

These are the **Friedmann equations**. Their solutions are the **Friedmann-Lemaître-Robertson-Walker** (FLRW) universes.

(And recall that  $\rho_\Lambda = -p_\Lambda = \Lambda/8\pi G$ .)

# Re-casting the Friedmann equations

$$\frac{\dot{a}^2 + K}{a^2} - \frac{\Lambda}{3} = \frac{8\pi G \rho}{3}$$

and for  $\dot{a} \neq 0$

(by differentiating the above and inserting the  $ij$ -equation)

$$\dot{\rho} = -3\frac{\dot{a}}{a}(\rho + p) = -3H(t)(\rho + p).$$

— this is nothing new: energy conservation for the ideal fluid stress-energy tensor in FRW spaces!  $\Rightarrow$  **Exercise**

# The physics behind the Friedmann equations

Multiply

$$\dot{\rho} = -3\frac{\dot{a}}{a}(\rho + p)$$

by  $a^3$  and integrate:

$$\frac{d}{dt}(\rho a^3) + p\frac{da^3}{dt} = 0.$$

The volume of a small ball  $0 \leq r \leq r_1$  is

$$V = \iiint \sqrt{g_{rr}g_{\theta\theta}g_{\phi\phi}} \, dr \, d\theta \, d\phi = a^3 v(r_1).$$

Using this, rewrite

$$\frac{d}{dt}(\rho V) + p\frac{dV}{dt} = 0.$$



# The physics behind the Friedmann equations

$$\frac{d}{dt}(\rho V) + p \frac{dV}{dt} = 0.$$

but  $\rho$  is energy density —  $\rho V = U$  is the system's energy!

$$\Rightarrow dU = -p dV$$

— change in energy is the “expansion work”.

If  $p = 0$  (dust universe),  $dU = 0$ , so mass is conserved!

# Physics behind the Friedmann: deceleration

Recombine Friedmann equations to give equation for  $\ddot{a}$ :

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3p)$$

Almost Newtonian — but in general relativity, pressure is a source of gravity, as well! (E.g. stellar collapse.)

This leads to an expression for the deceleration parameter:

$$q_0 = \frac{4\pi G}{3}(\rho_0 + 3p_0)$$

(with  $\rho_0$  and  $p_0$  the present density/pressure).

# Newtonian analogy

Using purely Newtonian reasoning, one can derive the Friedmann equations for dust for  $K = 0$ .

In that derivation, all the dust particles have started with initial velocities just right for scale-factor expansion to occur. Mass is conserved. The mutual gravitational attraction slows down the expansion.

Details  $\Rightarrow$  **Exercise**

## Different equations of state

Now, assume equation of state  $p = w\rho$ . Then

$$\dot{\rho} = -3\frac{\dot{a}}{a}(\rho + p)$$

becomes

$$\frac{\dot{\rho}}{\rho} = -3(1 + w)\frac{\dot{a}}{a}$$

which is readily integrated to

$$\rho \sim a^{-3(1+w)}.$$

This describes how the cosmic content is *diluted* by expansion.

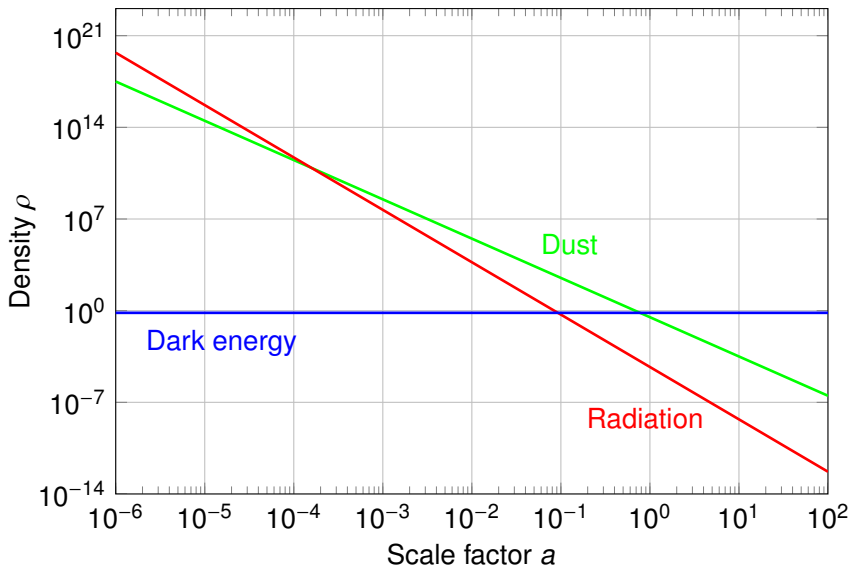
# How does density change with the scale factor?

Earlier on, we had looked at three different equations of state  $\rho = w\rho$ :

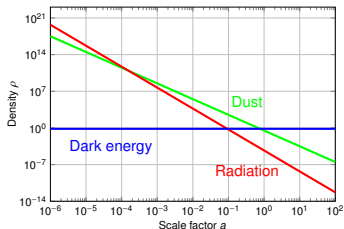
- 1 **Dust:**  $w = 0 \Rightarrow \rho \sim 1/a^3$
- 2 **Radiation:**  $w = 1/3 \Rightarrow \rho \sim 1/a^4$
- 3 **Scalar field/dark energy:**  $w = -1 \Rightarrow \rho = \text{const.}$

Whenever these are the only important components, a universe can have different *phases* — depending on size, different components will dominate.

# Different eras depending on the scale factor



# Different eras depending on the scale factor



## Two caveats:

- This says little about evolution — some values of  $a$  might not even be reached
- In reality, matter will change — particles might start as dust (non-relativistic) and, at smaller  $a$ , end up at high energies and thus as radiation (relativistic particles)

## For small $a$ : Radiation dominates!

$$\frac{\dot{a}^2 + K}{a^2} - \frac{\Lambda}{3} = \frac{8\pi G \rho}{3}$$

we can rewrite, using the scale-dependence of different components, as

$$\dot{a}^2 = -K + \frac{1}{3}\Lambda a^3 + \frac{8\pi G a_0^2}{3} \left[ \rho_m \left( \frac{a_0}{a} \right) + \rho_R \left( \frac{a_0}{a} \right)^2 \right]$$

with  $\rho_M$  ( $\rho_R$ ) the matter (radiation) density at  $t = t_0$ .

As we go to smaller and smaller  $a$  (as in going into our own universe's past), curvature,  $\Lambda$  and matter (dust) become ever less important. Only radiation (including relativistic particles) matters.



## For small $a$ : Radiation dominates!

Rewrite Friedmann equation as

$$\dot{a}^2 = \left[ \frac{8\pi G \rho_R a_0^2}{3} \right] \left( \frac{a_0}{a} \right)^2.$$

Solve for  $a(t)$  as

$$a(t) \propto \sqrt{t}$$

where  $a(0) = 0$ .

This will be the basis of all our models of the *early* universe.

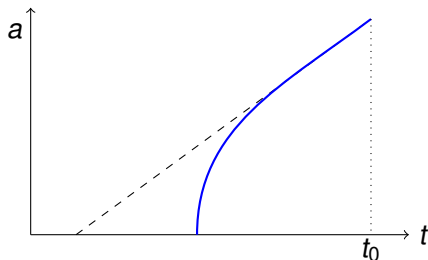
Convenient: Parameters decouple! Some important in the early universe, some only later!

# The initial singularity

Combine  $3 \frac{\dot{a}^2 + K}{a^2} - \Lambda = 8\pi G \rho$  and  $2 \frac{\ddot{a}}{a} + \frac{\dot{a}^2 + K}{a^2} - \Lambda = -8\pi G p$  to yield

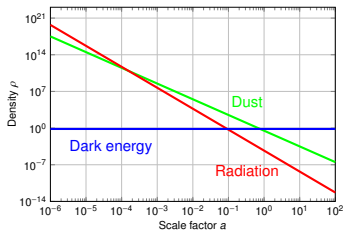
$$3 \frac{\ddot{a}}{a} = -4\pi G(\rho + 3p) + \Lambda.$$

Shows that, for universes where  $\Lambda$  does not dominate,  $\ddot{a}/a \leq 0$ :



Initial singularity — special case of Hawking-Penrose theorems

# If a universe becomes large, $\Lambda$ dominates



Remember the deceleration parameter:

$$q_0 = \frac{4\pi G}{3}(\rho_0 + 3p_0).$$

Occasional misunderstanding: “Dark energy is negative, and acts like negative mass” – no: what accelerates the expansion is the negative pressure,  $p_\Lambda = -\rho_\Lambda$ . It dominates because of the factor 3!

# Flatness problem 1/2

Once more

$$\frac{\dot{a}^2 + K}{a^2} = \frac{8\pi G \rho}{3}$$

(with  $\Lambda$  included in  $\rho$ ). Define *time-dependent critical density*

$$\rho_c(t) = \frac{3H(t)^2}{8\pi G}$$

and re-write

$$\rho(t) - \rho_c(t) = \frac{3K}{8\pi G} \frac{1}{a(t)^2}$$

and with  $\Omega(t) = \rho(t)/\rho_c(t)$  as

$$\left(1 - \frac{1}{\Omega(t)}\right) \rho(t) a^2 = \frac{3K}{8\pi G}.$$

## Flatness problem 2/2

$$\left(1 - \frac{1}{\Omega(t)}\right)\rho(t)a^2 = \frac{3K}{8\pi G}.$$

if identically zero,  $K = 0$ , then  $\Omega(t) = 1$ .

But physics is rarely that exact (except if there's a mechanism for it). What if geometry is *close to Euclidean*, but not *exactly Euclidean*?

$\rho$  increases faster than  $a^2$  decreases as we go to earlier times  $\Rightarrow$  deviation of  $\Omega(t)$  must have been much smaller in the past than presently — finetuning problem known as *flatness problem*.

(see later: *inflationary models*)

# Universes with dust and $\Lambda$

For the moment, let us concentrate on universes with negligible radiation (appropriate for the present state of our own universe).

Continuity equation shows that

$$\rho a^3 = \text{const.},$$

so the Friedmann equation for  $\dot{a}^2$  becomes

$$(\dot{a})^2 = \frac{C}{a} + \frac{\Lambda a^2}{3} - K$$

where

$$C \equiv \frac{8\pi G\rho}{3} a^3 = \text{const.}$$

# The family of Friedmann solutions

$$(\dot{a})^2 = \frac{C}{a} + \frac{\Lambda a^2}{3} - K$$

- Eq. has a unique solution if we specify parameter values  $C, \Lambda, K$ , the initial value  $a(t_0)$  at some time  $t_0$ , and the sign of  $\dot{a}(t_0)$ .
- Symmetries:  $t \rightarrow -t$  and  $t$ -translations. We will focus on expanding solutions ( $t \mapsto -t$  would then give a collapsing solution) and, where possible, choose  $a = 0$  at  $t = 0$ .
- $a = 0$  is a singularity (eq. “blows up”). Hence, no solution will have regions of both positive and negative  $a$ . We restrict our analysis to positive  $a$ .

# The trivial static solution

Trivially:  $C = \Lambda = K = 0$  and  $a = \text{const.}$  is Minkowski spacetime.



## Static solution

Other  $a(t) = \text{const.}$  solutions? Problem: this means  $\dot{a}(t) = 0$ , so we need to get back to the original equations (setting  $p = 0$  for dust)

$$3 \frac{\dot{a}^2 + K}{a^2} - \Lambda = 8\pi G\rho \quad \text{and} \quad 2 \frac{\ddot{a}}{a} + \frac{\dot{a}^2 + K}{a^2} - \Lambda = 0.$$

These hold for  $\dot{a}(t) = 0$  if

$$\frac{K}{a^2} = \Lambda = 4\pi G\rho.$$

Physical condition  $\rho > 0$  means  $K = +1$ .

This is the **Einstein Universe** (Einstein 1917 — birth of the cosmological constant; static, finite in size). But: unstable!

# Empty solutions

$\rho = 0$  or, alternatively “gravity switched off”,  $G = 0$ :  
limiting cases or scalar-field universes.

Key equation (separation of variables):

$$\int dt = \int \frac{da}{\sqrt{\frac{\Lambda a^2}{3} - K}}$$

Rescaling  $a$ , some cases (where the square root is real) can readily be integrated.

# Empty solutions

$$\Lambda = 0, K = 0$$

Empty static

$$a = \text{const.}$$

Minkowski

$$\Lambda > 0, K = 0$$

de Sitter (dS)

$$a = \exp(t/\xi)$$

de Sitter

$$\Lambda > 0, K = 1$$

$$a = \xi \cosh(t/\xi)$$

$$\Lambda = 0, K = -1$$

Milne

$$a = t$$

$$\Lambda < 0, K = -1$$

$$a = \xi \sin(t/\xi)$$

Milne AdS

$$\Lambda > 0, K = -1$$

$$a = \xi \sinh(t/\xi)$$

where  $\xi = \sqrt{|3/\Lambda|}$

# de Sitter space

$$a = \exp(t/\xi)$$

where  $\xi = \sqrt{3/\Lambda}$

$$\Rightarrow H_0 = \sqrt{\frac{\Lambda}{3}} = \sqrt{\frac{8\pi G\rho_\Lambda}{3}}$$

Today, interesting for two reasons:

- Asymptotic form for models with  $\Lambda > 0$  that expand indefinitely
- Inflationary phase

# Models without $\Lambda$

Astronomers took only these models seriously before 1998.

$$(\dot{a})^2 = \frac{C}{a} - K$$

Three cases:

- $K = 0$  *Einstein-de Sitter universe*
- $K = 1$
- $K = -1$

# Einstein-de Sitter universe, $\Lambda = 0, K = 0$

$$a(t) = a_0 \left( \frac{3\sqrt{C}}{2a_0^{3/2}}(t - t_0) + 1 \right)^{2/3}$$

From this, it follows that

$$H_0 = \frac{\sqrt{C}}{a_0^{3/2}}$$

for (slight) simplification:

$$a(t) = a_0 \left( \frac{3}{2} H_0 (t - t_0) + 1 \right)^{2/3}$$

# Einstein-de Sitter universe, $\Lambda = 0, k = 0$

This means  $a(t_i) = 0$  at

$$t_i = t_0 - \frac{2}{3}H_0^{-1},$$

in other words: the age of the universe is

$$\tau = \frac{2}{3}H_0^{-1}.$$

Choose new time coordinate  $t - t_i$  and rewrite:

$$a(t) = a_0 \left( \frac{3}{2}H_0 t \right)^{2/3}.$$

## Models without $\Lambda$ , but $K = \pm 1$

Introduce new variable:  $u(t) = \sqrt{a(t)/C}$ . In this way, one can solve

$$K = +1 : \quad t = C \left[ \sin^{-1}(\sqrt{a/C}) - \sqrt{a/C - (a/C)^2} \right]$$

$$K = -1 : \quad t = C \left[ -\sinh^{-1}(\sqrt{a/C}) + \sqrt{a/C + (a/C)^2} \right]$$

Parametric solution with new parameter  $\chi$  and

$$a = C \cdot \begin{cases} \sin^2(\chi/2) & \text{for } K = +1 \\ \sinh^2(\chi/2) & \text{for } K = -1 \end{cases}$$

is

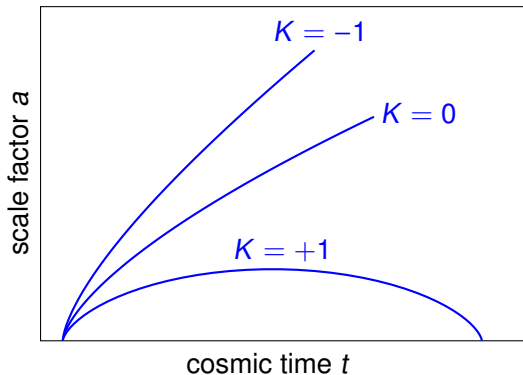
$$K = +1 : \quad a = \frac{1}{2}C \cdot (1 - \cos\chi), \quad t = \frac{1}{2}C \cdot (\chi - \sin\chi)$$

$$K = -1 : \quad a = \frac{1}{2}C \cdot (\cosh\chi - 1), \quad t = \frac{1}{2}C \cdot (\sinh\chi - \chi)$$



# All models without $\Lambda$

Plot the different solutions for  $\Lambda = 0$ :



Re-collapsing  
( $K = +1$ ), borderline ( $K = 0$ ) and expanding ( $K = -1$ ).

# The critical density

Evaluate the Friedmann equation

$$3 \frac{\dot{a}^2 + K}{a^2} - \Lambda = 8\pi G \rho$$

at the present time  $t_0$ , absorbing  $\Lambda$  into  $\rho$ , to obtain

$$1 = \frac{8\pi G}{3H_0^2} \rho_0 - \frac{K}{a_0 H_0^2}.$$

Where  $\rho_0 \equiv \rho(t_0)$ . The expression

$$\rho_{c0} \equiv \frac{3H_0^2}{8\pi G}$$

is called the *critical density*.

# The critical density

Using the critical density, rewrite the present-time Friedmann equation as

$$\rho_0/\rho_{c0} = 1 + \frac{K}{a_0 H_0^2}.$$

This equation links the present energy (mass) density  $\rho_0$  of the universe with the Hubble constant  $H_0$  (disguised as  $\rho_{c0}$ ) and the geometry  $K$ :

$$\rho_0 > \rho_{c0} \quad \Leftrightarrow \quad K = +1 \quad \text{spherical space}$$

$$\rho_0 = \rho_{c0} \quad \Leftrightarrow \quad K = 0 \quad \text{Euclidean space}$$

$$\rho_0 < \rho_{c0} \quad \Leftrightarrow \quad K = -1 \quad \text{hyperbolical space}$$

# Misconception about critical density and geometry

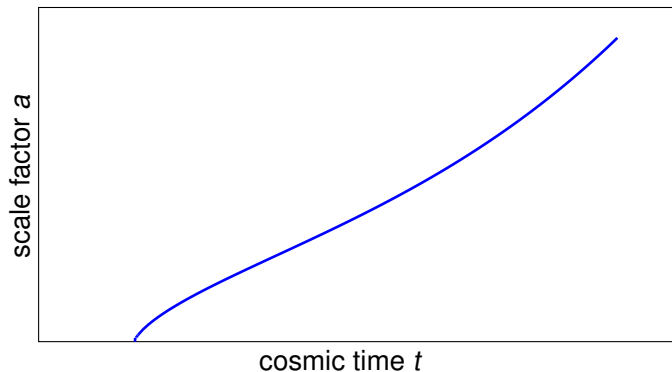
$\rho_0 > \rho_{c0}$	$\Leftrightarrow$	spherical,	finite,	cosmos will collapse
$\rho_0 = \rho_{c0}$	$\Leftrightarrow$	Euclidean,	infinite,	cosmos will keep expanding
$\rho_0 < \rho_{c0}$	$\Leftrightarrow$	hyperbolical,	infinite,	cosmos will keep expanding

Synonyms: finite = “closed universe”, infinite = “open universe”.

- Local geometry does not control topology!
- Direct correspondence with collapse or not only for  $\Lambda = 0$ !

# FLRW model with $K = 0, \Lambda > 0$

This is the special case that is probably our own universe:



Explicit solution  $\Rightarrow$  **Exercise**

# General considerations for FLRW models

Rescale all present densities in terms of the present critical density, and re-scale  $K$  accordingly:

$$\begin{aligned}\Omega_\Lambda &= \rho_\Lambda(t_0)/\rho_{c0}, & \Omega_M &= \rho_M(t_0)/\rho_{c0}, \\ \Omega_R &= \rho_R(t_0)/\rho_{c0}, & \Omega_K &= -K/(a_0 H_0)^2.\end{aligned}$$

Re-write the present-day Friedmann equation as

$$\Omega_\Lambda + \Omega_M + \Omega_R + \Omega_K = 1.$$

This is how densities is linked with spatial geometry.

# General considerations for FLRW models

Scaling behaviour of the different densities means that

$$\rho(t) = \frac{3H_0^2}{8\pi G} \left[ \Omega_M \left( \frac{a_0}{a(t)} \right)^3 + \Omega_R \left( \frac{a_0}{a(t)} \right)^4 + \Omega_\Lambda \right].$$

Substitute back into the Friedmann equation and substitute  $x(t) \equiv a(t)/a_0 = 1/(1+z)$  to obtain

$$dt = \frac{dx}{H_0 x \sqrt{\Omega_\Lambda + \Omega_K x^{-2} + \Omega_M x^{-3} + \Omega_R x^{-4}}}.$$

# The age of the universe in FLRW models

In the previous models, we defined  $t = 0$  by  $a(0) = 0$  [initial singularity]. This corresponds to  $z \rightarrow \infty$  or  $x = 0$ . With this zero point, emission time  $t_E(z)$  of light reaching us with redshift  $z$ :

$$t_E(z) = \frac{1}{H_0} \int_0^{1/(1+z)} \frac{dx}{x \sqrt{\Omega_\Lambda + \Omega_K x^{-2} + \Omega_M x^{-3} + \Omega_R x^{-4}}}.$$

Special case  $z = 0$  corresponds to the present time — gives the *age of the universe*  $\tau$ ,

$$\tau = \frac{1}{H_0} \int_0^1 \frac{dx}{x \sqrt{\Omega_\Lambda + \Omega_K x^{-2} + \Omega_M x^{-3} + \Omega_R x^{-4}}}.$$



# The acceleration parameter $q_0$

Present pressure:

$$p_0 = \frac{3H_0^2}{8\pi G}(-\Omega_\Lambda + \frac{1}{3}\Omega_R).$$

inserting in

$$q_0 = \frac{4\pi G}{3}(\rho_0 + 3p_0),$$

we find that

$$q_0 = \frac{1}{2}(\Omega_M - 2\Omega_\Lambda + 2\Omega_R).$$

# The fate of the universe

Rewrite

$$\frac{\dot{a}^2 + K}{a^2} - \frac{\Lambda}{3} = \frac{8\pi G\rho}{3}$$

as

$$\dot{a}^2 = (H_0 a_0)^2 \left[ \Omega_\Lambda x^2 + \Omega_M x^{-1} + \Omega_R x^{-2} + \Omega_K \right]$$

where  $x = a/a_0$ . Forget about  $\Omega_R$ .

If we want a re-collapse, we must have  $\dot{a} = 0$  at some time, in other words:

$$\Omega_\Lambda x^3 + \Omega_M x + \Omega_K x = 0.$$

# The fate of the universe

Discussion of

$$\Omega_{\Lambda}x^3 + \Omega_Mx + \Omega_Kx = 0$$

- We know that, for  $x = 1$ , this expression is  $+1$
- For  $\Omega_{\Lambda} < 0$ , for sufficiently large  $u$ , the expression will become negative  $\Rightarrow$  must have a zero
- For  $\Omega_{\Lambda} = 0$ , we know recollapse for  $\Omega_M > 1$ , requiring  $K = +1$
- For  $\Omega_{\Lambda} > 0$ , recollapse if  $\Omega_K$  sufficiently negative (again,  $K = +1$ ).

# Overview of FLRW solutions

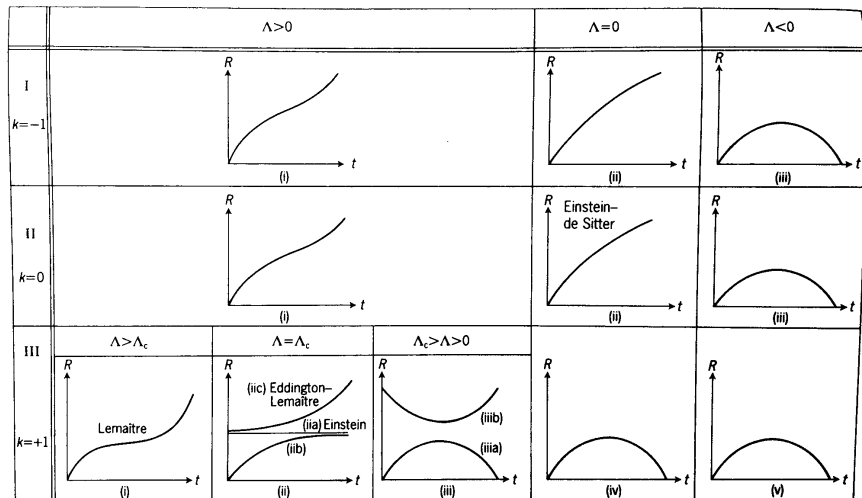


Image from: d'Inverno, *Introducing Einstein's Relativity*, ch. 22.3

# Steady State Cosmology

Of historical interest — serious contender 1948 until the 1950s or thereabouts. Invented by Hermann Bondi, Fred Hoyle, Thomas Gold.

**Perfect cosmological principle:** On average, the universe is isotropic, and *spacetime* is homogeneous (that is, there is no change over time).

Expansion of the de Sitter type:

$$a = \exp(H_0 t)$$

With a continuous creation process of new particles, so that the “steady state” can be maintained.

# Local effects of expansion?

Does expansion have an effect locally? Do atoms, planetary orbits, galaxies expand? cf. Giulini, arXiv:1306.0374v1

Overall: Average density means no net force on, say, galaxies  $\Rightarrow$  expansion on largest scales. But what about bound systems?

Pseudo-Newtonian picture: The different inertial frames are “moving away” from each other by the expansion,

$$\ddot{\vec{x}} = \frac{\ddot{a}}{a} \vec{x} \approx -q_0 H_0^2 \vec{x}$$

gives additional term in Newton's equations,

$$m(\ddot{\vec{x}} - \frac{\ddot{a}}{a} \vec{x}) = \vec{F}.$$

Only  $\ddot{a}$  matters, not  $\dot{a}$ ! Not some “friction force” pulling everything along with expansion!

# Expansion and the Coulomb potential 1/3

Setting up a modified Coulomb potential (electromagnetism, gravity): Energy and angular momentum

$$\frac{1}{2}\dot{r}^2 + U(r) = E, \quad r^2\dot{\phi} = L,$$

with the effective potential

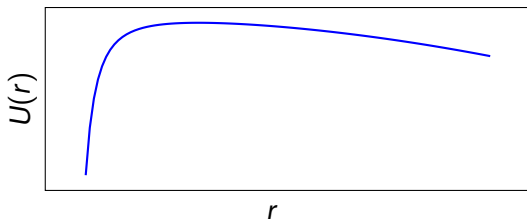
$$U(r) = \frac{L^2}{2r^2} - \frac{C}{r} + \frac{1}{2}Ar^2,$$

where

$$C = \begin{cases} GM & \text{gravitational field} \\ \frac{Qe}{4\pi\epsilon_0 m} & \text{electric field} \end{cases}$$

and  $A = -q_0 H_0$ .

# Expansion and the Coulomb potential 2/3



Critical radius at

$$r_c = \sqrt[3]{\frac{C}{A}}.$$

Amounts to

$$r_c = \begin{cases} \left(\frac{M}{M_\odot}\right)^{1/3} 108\text{pc} & \text{gravity} \\ \left(\frac{Q}{e}\right)^{1/3} 30\text{AU} & \text{electrostatic} \end{cases}$$



## Expansion and the Coulomb potential 3/3

$$r_c = \begin{cases} \left(\frac{M}{M_\odot}\right)^{1/3} 108\text{pc} & \text{gravity} \\ \left(\frac{Q}{e}\right)^{1/3} 30\text{AU} & \text{electrostatic} \end{cases}$$

means that:

- for a hydrogen atom, instead of the Sun, the electron would have to be near Pluto
- for the Sun, planets would need to be far beyond the neighbouring stars
- for a galaxy at  $10^{12} M_\odot$ , next galaxy beyond 1 Mpc

Recall  $q_0 = \frac{4\pi G}{3}(\rho_0 + 3p_0)$ . — for ordinary Dark Energy, density/pressure are constant. If those evolve, as in some *quintessence* models, there could be a “big rip”!

# Horizons

Causal structure of spacetime: Which parts are accessible? Which are inaccessible?

Most prominent example: Black holes with their event horizon — what's behind the horizon cannot communicate with the outside. Two varieties: *particle horizon* and *event horizon*.

# Particle horizons

In a universe with finite age, the *observable universe* is finite, as well.

Re-writing the FRW metric once more, using  $ds^2 = 0$  to describe light reaching us at the present time,  $t_0$ , from some distance  $r$ . Light with  $r_{\max}$  has been travelling since the big bang ( $t = 0$ ):

$$\int_0^{t_0} \frac{dt'}{a(t')} = \int_0^{r_{\max}} \frac{dr'}{\sqrt{1 - Kr'^2}}.$$

But we do not even need to solve for  $r_{\max}$ , since what we're really interested in is the proper distance:

$$d_{\text{particle}}(t_0) = a(t_0) \int_0^{r_{\max}} \frac{dr'}{\sqrt{1 - Kr'^2}} = a(t_0) \int_0^{t_0} \frac{dt'}{a(t')}.$$

# Particle horizons

$$d_{\text{particle}}(t_0) = a(t_0) \int_0^{t_0} \frac{dt'}{a(t')}$$

for special cases:

**Radiation dominated universe:**  $a(t) \sim \sqrt{t}$ , so  $H_0 = 1/(2t_0)$ , and

$$d_{\text{particle}}(t_0) = 2t_0 = \frac{1}{H_0}.$$

**Matter dominated universe:**  $a(t) \sim \sqrt{t}$ , so  $H_0 = 1/(2t_0)$ , and

$$d_{\text{particle}}(t_0) = 3t_0 = \frac{2}{H_0}.$$

One possible definition for the observable universe!

**de Sitter universe:** infinite!

# Event horizons

Which events happening at present will we see? Which not?

Same basic derivation from FRW metric:

$$\int_{t_0}^{t_{\max}} \frac{dt'}{a(t')} = \int_0^{r_{\max}(t_0)} \frac{dr'}{\sqrt{1 - Kr'^2}}.$$

$t_{\max}$  is infinite for infinitely expanding universes, finite for re-collapsing ones. Calculating proper distances again eliminates the need to solve for  $r_{\max}(t_0)$ :

$$d_{\text{event}}(t_0) = a(t_0) \int_0^{r_{\max}(t_0)} \frac{dr'}{\sqrt{1 - Kr'^2}} = a(t_0) \int_{t_0}^{t_{\max}} \frac{dt'}{a(t')}.$$

# Event horizons

Most interesting case: our own universe. For large times (follows from explicit solution in exercise)

$$a(t) \sim \exp(\sqrt{\Omega_\Lambda} H_0 t),$$

which is dS with  $H = \sqrt{\Omega_\Lambda} H_0$ . Calculate directly that

$$d_{\text{event}}(t_0) = \frac{1}{H} = \frac{1}{\sqrt{\Omega_\Lambda} H_0}.$$

For present values of the Hubble constant, that amounts to 8 billion light-years.

# What are the next steps?

- Inventory of observational consequences
- How to fix the parameters, test the models
- Separate treatment for early (radiation-dominated) universe
- So far, everything homogeneous: inhomogeneities!

# Literature

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